

## United States Patent and Trademark Office

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/808.610

03/25/2004

Sven Grzebeta

741439-12

22204 NIXON PEABODY, LLP 401 9TH STREET, NW SUITE 900 WASINGTON, DC 20004-2128



**CONFIRMATION NO. 5030 FORMALITIES LETTER** 

OC000000012888647

Date Mailed: 06/07/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/05/2004 AUSMAN1 00000030 192380

10808610

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 02 FC:1051

770.00 DA 130.00 DA

Filing Date Granted

## Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$878 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1778 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$878
  - \$86 for 1 independent claims over 3.
  - \$792 for 44 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

T.D

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

PATE	AUG 0 4 2004 60
	TRANFO

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/808,610				
Filing Date	March 25, 2004				
First Named Inventor	Sven GRZEBETA et al.				
Group Art Unit	3624				
Confirmation No.	5030				
Attorney Docket Number	741439-12				

ENCLOSURES (check all that apply)							
Fee Transmittal Form  Fee Attached  Amendment / Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Notice to File Missing Parts of Nonprovisional Application Formalities Letter  Response to Missing Parts under 37 CFR 1.52 or 1.53		Assignment Papers (for an Application)  Drawing(s)  Declaration and Power of Attorney  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Application Data Sheet Request for Corrected Filing Receipt with Enclosures				
SIGNATUI		Remarks  The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.  RE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual name	Marc S. Kaufman Nixon Peabody LLP 401 9 <sup>th</sup> Street, N.W. Suite 900 Washington, D.C. 2000472128						
Signature							
Date	August 4, 2004						
CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]  I hereby certify that this correspondence is being:  deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450  transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703)							
Date			Signature				
		Тур	ped or printed name				

FOR FY 2003
Page fees are subject to fees are subject to annual revision.

TARPHAND claims small entity status. See 37 CFR 1.27

METHOD OF PAYMENT (check all that apply)

TOTAL AMOUNT OF PAYMENT \$1,818.00

Complete if Known				
Application Number	10/808,610			
Filing Date	March 25, 2004			
First Named Inventor	Sven GRZEBETA et al.			
Confirmation No.	5030			
Art Unit	3624			
Attorney Docket No.	741439-12			

FEE CALCULATION (continued)

Check Credit Card Money Other None Order	3. A	DDITIO	DNAL I	EES	
Deposit Account:	Large	Entity	Small	Entity	
Deposit	Fee	Fee	Fee	Fee	Fee Description
Account Number 19-2380 (741439-12)	Code 1051	( <b>\$</b> ) 130	2051	(\$) 65	Surcharge – late filing fee or oath \$130.00
	1052	50	2052	25	Surcharge - late provisional filing fee or cover
D	.053	120		120	sheet
Deposit Account Nixon Peabody LLP	1053 1812	130	1053	130	Non-English specification
Name 147X0111 Cabody EL51		2,520	1812	2,520	For filing a request for ex parte reexamination
The Commissioner is authorized to: (check all that apply)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
Charge fee(s) indicated below Credit any overpayments	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner
Charge any additional fee(s)	1251	110	2251	55	action Extension for reply within first month
Charge fee(s) indicated below, except for the filing fee	1252	420	2252	210	Extension for reply within second month
to the above-identified deposit account.	1253	950	2253	475	Extension for reply within third month
FEE CALCULATION	1254	1,480	2254	740	Extension for reply within fourth month
1. BASIC FILING FEE	1255	2,010	2255	1,005	Extension for reply within fifth month
Large Entity Small Entity	1401	330	2401	165	Notice of Appeal
Fee Fee Fee Fee Description	1402	330	2402	165	Filing a brief in support of an appeal
Code (\$) Code (\$) Fee Paid	1403	290	2403	145	Request for oral hearing
1001 770 2001 385 Utility filing fee \$770	1451	1,510	1451	1,510	Petition to institute a public use proceeding
1001 770 2001 385 Utility filing fee 37/0 1002 340 2002 170 Design filing fee	1452	110	2452	55	Petition to revive – unavoidable
	1453	1,330	2453	665	Petition to revive – unintentional
1003 530 2003 265 Plant filing fee	1501	1,330	2501	665	Utility issue fee (or reissue)
1004 770 2004 385 Reissue filing fee	1502	480	2502	240	Design issue fee
1005 160 2005 80 Provisional filing fee	1503	640	2503	320	Plant issue fee
SUBTOTAL (1) \$770.00	1460	130	1460	130	Petitions to the Commissioner
\$770.00	1807	50	1807	50	Processing for under 27 CFD 1 17(a)
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		180	1806	180	Processing fee under 37 CFR 1.17(q) Submission of Information Disclosure Stmt
Fee from		40	8021	40	Recording each patent assignment per property \$40.00
Extra Claims below Fee Paid  Total Claims 64 -20** = 44 X \$18 = \$792.00	1000	770	2000	206	(times number of properties)
Total Claims 64 -20** = 44 X \$18 = \$792.00	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))
Independent $4 - 3** = 1 X $86 = $86.00$	1810	770	2810	385	For each additional invention to be examined
Claims Multiple Dependent X \$290 =	1801	770	2801	385	(37 CFR 1.129(b)) Request for Continued Examination (RCE)
• • • • • • • • • • • • • • • • • • • •		,,,,			in quantity similarity (it e2)
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1802	900	1802	900	Request for expedited examination of a design application
Code (\$) Code (\$)	Other	l ee (specif	l 6/1		application
1202 18 2202 9 Claims in excess of 20	Oliki	ec (specii	· · · · · · · · · · · · · · · · · · ·		
1201 86 2201 43 Independent claims in excess of 3					
1203 290 2203 145 Multiple dependent claim, if not paid	*Reduc	ed by Ba	sic Filin	g Fee Paid	SUBTOTAL (3) \$170.00
1204 86 2204 43 ** Reissue independent claims over					
original patent					
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent					
SUBTOTAL (2) \$878.00					
**or number previously paid, if greater, For Reissues, see above					
SUBMITTED BY  Complete (if applicable)					
1, 4	Regist	ration No	D	25 212	
		ey/Agen		35,212	Telephone (202) 585-8000
Signature					Date August 4, 2004